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Horizon 2020 Proposal template – Current research grants and any on-going applications related to the proposal of the PI

European Research Council, 2019. “Proposal template for ERC Consolidator Grants 2020.” Accessed January 19, 2021. [https://ec.europa.eu/research/participants/data/ref/h2020/call\\_ptef/pt/2018-2020/h2020-call-pt-erc-cog-2020\\_en.pdf](https://ec.europa.eu/research/participants/data/ref/h2020/call_ptef/pt/2018-2020/h2020-call-pt-erc-cog-2020_en.pdf).

Applicant's last name

Part B1

ACRONYM

*Appendix: Current research grants and any on-going applications related to the proposal of the PI (Funding ID)*

*Mandatory information (does not count towards page limits)*

**Current Grants**

Project Title	Funding source	Amount (Euros)	Period	Role of the PI	Relation to current ERC proposal <sup>2</sup>

**On-going and submitted grant applications (Please indicate “None” when applicable):**

Project Title	Funding source	Amount (Euros)	Period	Role of the PI	Relation to current ERC proposal <sup>2</sup>

<sup>2</sup> Describe clearly any scientific overlap between your ERC application and the current research grant or on-going grant application.

## 2. 申請・報告フォーマット(米国大学)

MIT Compensated & Uncompensated Activities

MIT, 2020. "Outside Professional Activities (OPA)." Accessed January 19, 2021.

[https://opa.mit.edu/opahelp/OPA\\_Quickcard2019.pdf](https://opa.mit.edu/opahelp/OPA_Quickcard2019.pdf).

COMPENSATED & UNCOMPENSATED ACTIVITIES

List all compensated outside professional activities, both domestic and international; and all uncompensated outside professional activities (i.e., requiring substantial time commitment with no or nominal compensation), both domestic and international.

**Examples of compensated outside professional activities:**

- \* Consulting or research for a company, university or other entity, including service as an expert witness
- \* Part-time employment or appointment at a company, university or other entity (including a government agency)
- \* Membership on a corporate, technical, scientific or advisory board
- \* Speaking at corporate or other conferences or seminars
- \* Providing commissioned papers, reports, or editing services
- \* Teaching/lecturing outside MIT, at universities or companies, in degree or non-degree programs
- \* Teaching in MIT's executive, professional, international or other special or summer programs

*Do not include the amount of compensation.*

**Examples of uncompensated outside professional activities:**

- \* Appointment at a company, university or other entity (including a government agency)
- \* Service to professional societies or outside educational institutions
- \* Service on government or industry review boards or panels, or special commissions or committees
- \* Teaching in MIT's executive, professional, international or other special or summer programs

*Do not include incidental or outside academic activities that are part of your normal MIT duties, such as reviewing publications, attending conferences, or making research presentations or lecturing at other universities.*

**\*\* Denotes entities you have sync'd from the COI module.**

Company/Entity	Nature of Work/Relationship	Compensated or Uncompensated	# of Days per Year		
			Summer 6/1 - 8/31	Academic 9/1 - 5/31	
**Cogswell's Cosmic Cogs	Board Membership (BoD)	Compensated	2.0	7.0	
**Spacely Space Sprockets	Board Membership (SAB)	Uncompensated	3.0	5.0	
**Great Gazoo Investments	passive investment	Uncompensated	0.0	0.0	
TOTAL			5.00	12.00	

## MIT Outside Financial Interests and Relationships

MIT, 2020. "Outside Professional Activities (OPA)." Accessed January 19, 2021.

[https://opa.mit.edu/opahelp/OPA\\_Quickcard2019.pdf](https://opa.mit.edu/opahelp/OPA_Quickcard2019.pdf).

### OUTSIDE FINANCIAL INTERESTS AND RELATIONSHIPS

For the current reporting period, list any company or other entity, both domestic and international, that has a relationship with your MIT activities in any way and from which you, or any member of your immediate family, received any payments (including honoraria or royalties) for employment, consulting, board membership, or other relationship, or in which you have an ownership or equity interest.

Examples of relationships to MIT activities that an outside entity could have:

- \* The company/entity sponsors research or teaching activities at MIT in which you are directly involved
- \* The company/entity has made gifts to MIT which are under your control or directly benefit your MIT research or teaching activities
- \* The company/entity has products or research interests that could benefit significantly from your research activities at MIT
- \* The company/entity licenses MIT intellectual property in which you have an interest as an inventor
- \* The company/entity sells materials or services to MIT that are used in your MIT research or teaching

Company/Entity	Relationship	Your Relationship with Company/Entity	Company's/Entity's Relationship with MIT
Spacey Space Sprockets, Inc	Self	Consultant	Sells materials to MIT

Delete

Add



## MIT Involvement of Students Or Subordinate Employees

MIT, 2020. "Outside Professional Activities (OPA)." Accessed January 19, 2021.

[https://opa.mit.edu/opahelp/OPA\\_Quickcard2019.pdf](https://opa.mit.edu/opahelp/OPA_Quickcard2019.pdf).

### INVOLVEMENT OF STUDENTS OR SUBORDINATE EMPLOYEES

List the names of any MIT students or subordinate employees (paid or unpaid) who have been involved in any of your outside professional activities, including companies with which you have a consulting, board membership, ownership or other relationship, and describe the nature of their work, the amount of time involved, and your relationship with each student (e.g., thesis supervisor, supervisor of the student as an RA or TA, etc.) or subordinate (e.g., post-doc, support staff, etc.)

Student / Subordinate	Name	Describe the type of Work	Company	Relationship with student/subordinate	# of Days	
Student 	Larry Mondello	Facilitator at Company seminars	Spacely Sprockets	Supervisor of the student as an TA	3.5	

[https://opa.mit.edu/opahelp/OPA\\_Quickcard2019.pdf](https://opa.mit.edu/opahelp/OPA_Quickcard2019.pdf).

## UCLA Investigators' Statements of Financial Interests

UCLA, 2017. "Investigators' Statements of Financial Interests." Accessed January 19, 2021.

<https://rpc.research.ucla.edu/wp-content/uploads/form-740.pdf>.

UNIVERSITY OF CALIFORNIA, LOS ANGELES

**Investigators' Statements of Financial Interests**

**Form 740**

Under UCLA Policy 925, the Principal Investigator and all other UCLA Investigators who share responsibility for the design, conduct, or reporting of certain sponsored projects must report their personal financial interests in any organization(s) that, to the best of the investigator's knowledge, may have a significant impact on the conduct of this research or might benefit from the anticipated results of the proposed project.

Sponsor (please check one box below)

☐ Federal Agency (specify) \_\_\_\_\_ ☐ UC Special Research Programs

☐ Subaward from Federal Agency (specify) \_\_\_\_\_ ☐ CIRM

☐ Other \_\_\_\_\_

Reason for Disclosure: ☐ New Proposal ☐ Additional Support ☐ New Investigator ☐ New Interest(s) Obtained

☐ IRB Request ☐ Voluntary Disclosure ☐ Other \_\_\_\_\_

Title of Proposal \_\_\_\_\_

IRB/ARC No(s) (if applicable) \_\_\_\_\_

**Disclosure and Certification**

The Principal Investigator's signature certifies that all individuals required to make disclosures of Significant Financial Interests have been listed on this form, or that no other individuals working on the research are required to make such disclosures.

Are there other Investigators who share responsibility for the design, conduct, or reporting of the research? ☐ No ☐ Yes

If YES, those Investigators must sign and complete the section below.

All Investigators named below acknowledge their responsibility to disclose any new Significant Financial Interests acquired during the term of the award.

**Do you, your spouse or registered domestic partner, or dependent children have a Significant Financial Interest related to the work to be conducted under the proposed project? (See reverse for definitions of Significant Financial Interests)**

1. <b>Principal Investigator</b>	Signature _____ Date _____	<input type="checkbox"/> NO <input type="checkbox"/> YES, Form 740 Supplement attached
2. <b>Other Investigator</b>	Print or Type Name of Other Investigator _____ Signature _____ Date _____	<input type="checkbox"/> NO <input type="checkbox"/> YES, Form 740 Supplement attached
3. <b>Other Investigator</b>	Print or Type Name of Other Investigator _____ Signature _____ Date _____	<input type="checkbox"/> NO <input type="checkbox"/> YES, Form 740 Supplement attached
4. <b>Other Investigator</b>	Print or Type Name of Other Investigator _____ Signature _____ Date _____	<input type="checkbox"/> NO <input type="checkbox"/> YES, Form 740 Supplement attached

Jan 2017

Significant Financial Interest(s): Significant Financial Interests include but are not limited to:

- Income including salary or other payment for services including consulting fees, honoraria, reimbursement of expenses (including travel), royalty payments, dividends, loans from an entity, or any other payments or consideration with value, including payments made to a health sciences compensation plan, during the prior twelve months or anticipated in the next twelve months, of \$10,000 or more.
- Equity in the form of stock, stock options, warrants, real estate, loans to or from an entity, or any other investment or ownership interest exceeding either \$10,000 (current market value if publicly traded, otherwise, amount of investment) or a 5% ownership interest, for any one enterprise.
- A management position, whether paid or unpaid, such as board member, director, officer, partner, or trustee.
- All intellectual property interests such as patents, patent applications, trademarks and copyrightable software (but not scholarly publications or other published works) that you own or is controlled by an individual or party other than The Regents, irrespective of whether such intellectual property has resulted in any income.

Reporting is for the individual, his/her spouse or registered domestic partner, and dependent children. Specifically excluded from the definition of Significant Financial Interests are intellectual property owned by The Regents and payments made by The Regents for remuneration from the University including salary, stipends, honoraria, reimbursement of expenses and royalty payments.

Under the California Public Records Act, this information may be made available to the public upon request.

# UCLA Disclosure supplement

UCLA, 2019. "Disclosure supplement." Accessed January 19, 2021. <https://rpc.research.ucla.edu/wp-content/uploads/form-740-disclosure-supplement.pdf>.

UNIVERSITY OF CALIFORNIA, LOS ANGELES

**DISCLOSURE SUPPLEMENT**

**Supplement to  
Form 740**

This form is to be completed by all Principal Investigators and other investigators who have reported a financial interest on Form 740. Completion of this form is required in accordance with UCLA Policy 805. The information provided herein may be released or transmitted to the sponsor upon request, and per the California Public Records Act, may also be released to the public upon request.

Name of Investigator: \_\_\_\_\_

Principal Investigator's Name (if different): \_\_\_\_\_

Title of Research Project: \_\_\_\_\_

Name of Entity in which you have a financial interest: \_\_\_\_\_

Is it a for-profit entity? ☐ Publicly Traded ☐ Non-Publicly Traded

1. Are you a founder, co-founder, or do you hold a management position (such as board member, director, officer, partner, or trustee) in the entity listed above?

☐ No ☐ Yes. Reason(s): \_\_\_\_\_

If you are a UCLA faculty member and act as a founder, co-founder, salaried employee of, or hold an executive or management position in the entity listed above, please attach a copy of the approval for outside professional activities required under University of California "Policy on Conflict of Commitment and Outside Activities of Faculty Members" or "Conflict of Commitment and Outside Activities of Health Sciences Plan Participants/Academic Personnel Manual" Section 125, Appendix B or Section 171, Appendix B.

☐ APM 105 or APM 471 Approval for Outside Activities attached ☐ Not applicable

2. Do you, your spouse or registered domestic partner or dependent child, have:

A. An investment of \$10,000 or more or a 5% or more ownership interest in the sponsor or entity listed above?

☐ No ☐ Yes. Please specify type. Check all that apply: ☐ Stock ☐ Stock Options ☐ Founders Shares ☐ Bonds ☐ Other (specify): \_\_\_\_\_

If stock or stock options, number of shares: \_\_\_\_\_ and/or estimated current dollar value: \$ \_\_\_\_\_

If bonds, estimated current value: \$ \_\_\_\_\_

Estimated percentage of total value of the company your interest represents: \_\_\_\_\_ %

B. Whether or not you, your spouse or domestic partner, and dependent children already have an existing investment in the entity listed above: do you anticipate obtaining any new financial interests?

☐ No ☐ Yes, describe: \_\_\_\_\_

3. Do you, your spouse or registered domestic partner or dependent child receive income (including any payment, such as salary or consulting fees, royalty payments (paid directly by the entity), reimbursement of expenses (including travel), etc.) of \$10,000 or more received within the last 12 months from the entity listed above? (Do not include any salary or summer salary paid by the University with funds provided by the sponsor or entity listed above.)

☐ No ☐ Yes. Amount: \$ \_\_\_\_\_

Do you, your spouse or registered domestic partner or dependent child receive income anticipate receiving income of \$10,000 or more during the next 12 months?

☐ No ☐ Yes. Anticipated Amount: \$ \_\_\_\_\_

If you received income or anticipate receiving income, please specify the type. Check all that apply:

☐ Consulting Income ☐ Honoraria ☐ Salary ☐ Reimbursement for travel, per diem, other ☐ Royalties (not from The Regents)

☐ Dividends ☐ Other (specify): \_\_\_\_\_

4. Have you received any loans received from the entity listed above for which the outstanding balance has exceeded \$10,000 in the past 12 months?

☐ No ☐ Yes. Balance: \$ \_\_\_\_\_ Interest rate: \_\_\_\_\_ %

January 2019

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5. Do you have an inventor or ownership interest in any intellectual property (e.g., inventions, tangible research materials, copyrights, etc.) that will be utilized in this project?

☐ No ☐ Yes. If Yes, please answer questions A – E below.

A. Please provide a brief non-technical description of the intellectual property involved: \_\_\_\_\_

B. Will the IP be used as a research tool or will it be further developed under the proposed research? \_\_\_\_\_

C. Please identify the owner of the intellectual property to be utilized in this project:

☐ Me, my spouse, and/or my dependent children ☐ The Regents of the University of California

☐ The research sponsor ☐ Other (identify): \_\_\_\_\_

D. If The Regents of the University of California is the owner of the intellectual property, has it optioned or licensed the intellectual property?

☐ No ☐ Yes. (SIC Case No., if known: \_\_\_\_\_) Please indicate one: ☐ Optioned ☐ Licensed

Name of entity to which intellectual property has been optioned or licensed: \_\_\_\_\_

E. Do you currently receive, or do you anticipate receiving in the future, royalty or other income resulting from licenses of the intellectual property?

☐ No ☐ Yes. Royalty or other income is/ may be received from the University of California ☐ Yes, royalty or other income is/ may be received from a third party

6. Will you be supervising or assigning students, postdoctoral fellows, or other trainees on this project?

☐ No ☐ Yes. If Yes, please answer questions A – C below.

A. Please describe their participation or assignment: \_\_\_\_\_

B. Please explain whether the direct participation of students, postdoctoral fellows, or other trainees in the research being supported or in any related consulting activities would hinder their ability to report or publish for academic (including thesis or dissertation) purposes: \_\_\_\_\_

C. Will the students, postdoctoral fellows or other trainees participate in any consulting or other relationship with the entity listed above, either independently or as an assistant to you? ☐ No ☐ Yes. If yes, please describe: \_\_\_\_\_

7. Do you own or have any other financial interest in an entity that is proposed as a subcontractor, consortium member, or insofar, or is otherwise involved in the project? Please describe the relationship: \_\_\_\_\_

8. Please describe your role and responsibilities on this project: \_\_\_\_\_

9. If you reported a significant financial interest related to this project, please explain, to the best of your ability, how this interest may have a direct and significant impact on the design, conduct or reporting of the proposed research or, alternatively, why you believe it will not have a direct and significant impact: \_\_\_\_\_

**VERIFICATION**

I have used all reasonable diligence in preparing this statement, and to the best of my knowledge it is true and complete.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

January 2019

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# UCLA Statement of Economic Interests for Principal Investigators

UCLA, 2019. "STATEMENT OF ECONOMIC INTERESTS FOR PRINCIPAL INVESTIGATORS."

Accessed January 19, 2021. <https://rpc.research.ucla.edu/wp-content/uploads/form-700-u.pdf>.

CALIFORNIA FORM 700-U FAIR POLITICAL PRACTICES COMMISSION		STATEMENT OF ECONOMIC INTERESTS FOR PRINCIPAL INVESTIGATORS <i>A Public Document</i>		Date Received Campus Use Only
Please type or print in ink.		Campus: _____		ID No: _____
NAME (LAST)	(FIRST)	(MIDDLE)	TELEPHONE NUMBER	
ACADEMIC UNIT OR DEPARTMENT		MAIL CODE	E-MAIL ADDRESS	
TITLE OF RESEARCH PROJECT				
<b>1. Information Regarding Funding Entity</b> (Use a separate Form 700-U for each funding entity.) Name of Entity: _____ Address of Entity: _____ Principal Business of Entity: _____ Amount of Funding: \$ _____ Estimated <input type="checkbox"/> Actual <input type="checkbox"/>		<b>3. Filer Information - Cont.</b> D. Have you received loans from the entity in Part 1 for which the balance exceeded \$500 during the reporting period? No <input type="checkbox"/> Yes <input type="checkbox"/> - highest balance: <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> Exceeded \$100,000 If you checked "yes," was the loan: <input type="checkbox"/> Secured <input type="checkbox"/> Unsecured Interest rate: _____% Was the loan entirely repaid within the last 12 months? <input type="checkbox"/> No <input type="checkbox"/> Yes E. Have you received gifts from the entity listed in Part 1 within the last 12 months valued at \$50 or more? No <input type="checkbox"/> Yes <input type="checkbox"/> - describe below. Description: _____ Value: \$ _____ Date Received: ____/____/____ F. Has the entity in Part 1 paid for your travel during the reporting period? No <input type="checkbox"/> Yes <input type="checkbox"/> - describe below. Type of Payment: (check one) <input type="checkbox"/> Gift <input type="checkbox"/> Income Amt: \$ _____ date(s): ____/____/____ (if gift) Description and, if Gift, Travel Destination: _____		
<b>2. Type of Statement</b> (Check at least one box) <input type="checkbox"/> Initial (for new funding) Date of initial funding: ____/____/____ <input type="checkbox"/> Interim (for renewed funding) Funding was renewed on: ____/____/____		<b>3. Filer Information</b> A. Are you a director, officer, partner, trustee, consultant, employee, or do you hold a position of management in the entity listed in Part 1? No <input type="checkbox"/> Yes <input type="checkbox"/> Title: _____ B. Do you, your spouse or registered domestic partner, or your dependent children have an investment of \$2,000 or more in the entity listed in Part 1 above? No <input type="checkbox"/> Yes <input type="checkbox"/> - value is: <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Exceeds \$1,000,000 Date Disposed: ____/____/____, if applicable C. Have you received income of \$500 or more from the entity listed in Part 1 during the reporting period? No <input type="checkbox"/> Yes <input type="checkbox"/> - amount is: <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> Exceeds \$100,000 Was this income received through your spouse or registered domestic partner? <input type="checkbox"/> No <input type="checkbox"/> Yes		
<b>4. Verification</b> I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Date Signed _____ (month, day, year) Signature _____ (File the originally signed statement with your university.)				

The Form 700-U is for university use only.  
This statement is a public record under Gov. Code Section 81008(a).

advice@fpcc.ca.gov • 866-275-5772 • www.fpcc.ca.gov

FPCC Form 700 (2005/2021)

PRINT CLEAR

※州立大学のカリフォルニア大学ロサンゼルス校 (UCLA) では、連邦機関の資金配分を受ける際の連邦フォーマット (Form 740) と、州法に基づく州フォーマット (Form 700-U) の2つがある。

参考 UCLA, "Conflict of Interest Disclosure Forms." Accessed January 19, 2021.

<https://rpc.research.ucla.edu/coi-forms/>.