### UCLA Form 700-u addendum

UCLA, 2016. "Investigators' Statements of Financial Interests." Accessed January 19, 2021.

https://rpc.research.ucla.edu/wp-content/uploads/form-700-u-addendum.pdf.

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Significant Financial Interest(s): Significant Financial Interests include but are not limited to

- Income including salary, consulting payments, honoraria, reimbursement of expenses, royalty payments, dividends, loans from an entity, or any other payments or consideration with value, including payments made to a health science compensation plant, during the prior twelve months or anticipated in the next twelve months, of \$10,000 armore;
- Equity in the form of stock, stock options, warrants, real estate, loans to or from an entity, or any other investment or ownership interest exceeding either \$10,000 (current market value if publicly traded; otherwise, amount of investment) or a \$50.
- A management position, whether paid or unpaid, such as board member, director, officer, partner, or trustee;
- Ownership or other interest in an entity that is proposed as a subcontractor, consortium member, lessor or otherwise involved in the project.
- All intellectual property interests such as patents, patent applications, trademarks and copyrightable software (but not scholarly publications or other published works) that you own or is controlled by an individual or party other than The Recents, irrespective of whether such intellectual proceeds has resulted in any income.

Reporting is for the individual, his/her spouse or registered domestic partner, and dependent children. Specifically excluded from the definition of Significant Financial interests are intellectual property owned by The Repents and payments made to you by The Repents for remuneration from the University including salary, stipends, honoraria, reimbursement of expensions.

Under the California Public Records Act, this information may be made available to the public upon request.

### UCLA Annual Reporting Form

UCLA, 2014. "ANNUAL REPORTING FORM." Accessed January 19, 2021.

https://ucla.app.box.com/s/ee8abr4a24c4hldryxbyhzm0j5ppoxm8.

GENERAL UNIVERSITY POLICY REGARDING ACADEMIC APPOINTEES Conflict of Commitment and Outside Activities of Faculty Members

APM - 025 APPENDIX D

ANNUAL REPORTING FORM
FOR CATEGORY I & II OUTSIDE ACTIVITIES AND ADDITIONAL TEACHING ACTIVITIES
REPORTING PERIOD: THROUGH

Instructions: In accordance with APM - 025, this form must be completed, signed, and submitted to the department chair each year by all eligible faculty for the time your academic-year or fiscal-year appointment was effective during the identified fiscal year. See Explanations (Appendix B) for further guidance. If you engaged in no Category I and Category II outside activities during the identified fiscal year, and did not perform additional teaching as defined in APM - 662 (i.e. teaching in UNEX courses or programs, other

Name (Print):			Ac	cademic Title:		Department:	
Appointmen	t:Acade	mic-year or Fisc	al-year Te	erms of leave, if any:		College/School:	
Category I or II	Number of Days	Leave Without Pay Y/N (When?)	D	Description of Activity	Nature of Relationship		Description of ganization/Group/Person
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N.B.: Information disclosed herein is a public record under the California Public Records Act

Rev. 7/1/14

### 3. 申請・報告フォーマット(日本)

研究組織(研究代表者及び研究分担者)

競争的研究費に関する関係府省連絡会,2017.「競争的資金における使用ルール等の統一について」.

研究組織 (研究代表者及び研究分担者)

		所属研究機関	現在の専門	年度	エフォ
	氏名 (年齢)	部局	学位 (最終学歴)	研究経費	ート
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研究代表者					
研究分担者					
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研究費の応募・受入等の状況・エフォート

競争的研究費に関する関係府省連絡会,2017.「競争的資金における使用ルール等の統一について」.

#### 4 研究費の応募・受入等の状況・エフォート

#### (1) 応募中の研究費

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#### (2) 受入予定の研究費

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(3) その他の活動 エフォート: %

# 謝辞

本調査検討を実施するにあたり「研究インテグリティに関する検討会」の委員各位には調査の設計・実施・分析・とりまとめそれぞれの段階において多大なる助言をいただいた。座長としてご参画いただいた白石隆氏(熊本県立大学理事長)には、検討会の会議内外においてご指導をいただいた。ここで委員各位に改めて深く感謝申し上げる。

また「研究インテグリティに関する検討会」で実施した、各機関の認識・取組状況のヒアリングにおいて、経済産業省 貿易経済協力局貿易管理部安全保障貿易管理政策課 片山 弘士氏、国立大学法人東北大学 総長特別補佐 佐々木 孝彦氏、国立研究開発法人科学技術振興機構(JST) 研究開発戦略センター(CRDS)上席フェロー 岩瀬 公一氏、国立大学法人徳島大学 研究支援・産官学連携センター 副センター長 井内 健介氏、国立研究開発法人物質・材料研究機構(NIMS)理事 谷 広太氏、に、それぞれ貴重なるご発表を賜った。ここに深く御礼申し上げる。

# 調査実施体制

受託者(PwC あらた有限責任監査法人) 研究インテグリティに関する検討会(後述「研究インテグリティに関する検討会名簿」参照) 委託者(内閣府)

# 調査実施・報告書の作成

受託者(PwC あらた有限責任監査法人) 委託者(内閣府)

# 研究インテグリティに関する検討会名簿

本調査検討では、以下のとおり構成される「研究インテグリティに関する検討会」を設置し、ご意見をいただいた。

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白石 隆 熊本県立大学理事長(座長)

青木 節子 慶應義塾大学大学院法務研究科教授

浅島 誠 公正研究推進協会理事長、帝京大学学術顧問·特任教授

石塚 博昭 新エネルギー・産業技術総合開発機構(NEDO)理事長

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渡部 俊也 東京大学未来ビジョン研究センター教授

【オブザーバー】

秋山 憲孝 農業·食品産業技術総合研究機構(NARO)生物系特定産業技術研究

支援センター(BRAIN)研究管理部長

真先 正人 日本医療研究開発機構(AMED)執行役

### 禁無断転載

この報告書の著作権は内閣府に帰属します。

研究インテグリティ(Research Integrity)に係る 調査・分析報告書 2021 年 3 月

(委託) 内閣府

[連絡先 内閣府 政策統括官(科学技術・イノベーション担当)付] 〒100-8914 東京都千代田区永田町1-6-1

(受 託) PwC あらた有限責任監査法人 〒100-0004 東京都千代田区大手町1-1-1 大手町パークビルディング