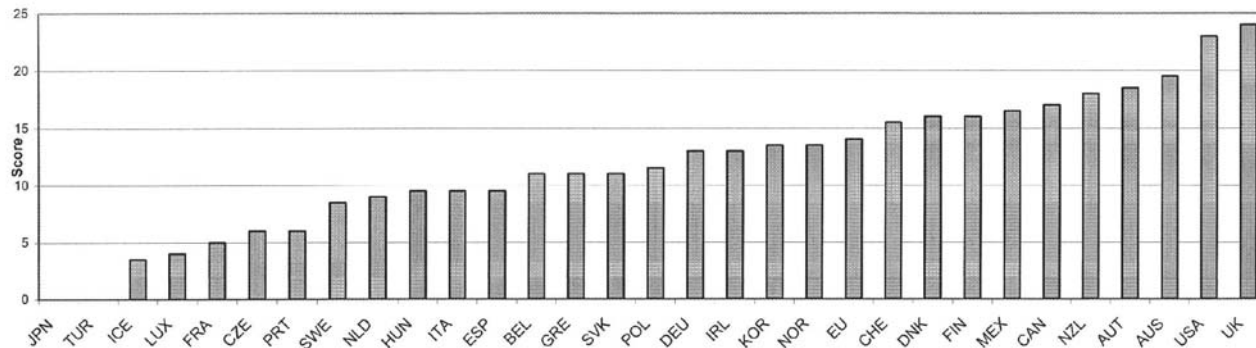


Appendix I —5



Extent of RIA processes in 2005



d(ix) Is the RIA required to include assessments of other specific impacts: Impacts on the budget, impacts on competition, impacts on market openness, impacts on small businesses, impact on specific regional areas, impact on specific social groups, impact on other groups (charities, not for profit sector), impact on the public sector

Weights:

d(x), Impacts on the budget, competition, market openness, small businesses, specific regional areas, specific social groups, the public sector: if no=0, in other selected cases=1, only for major regulation=1, always=2

d(ix), Impact on other groups (charities, not for profit sector): if no=0, in other selected cases=0.5, only for major regulation=0.5, always=1

e) Is risk assessment required when preparing a RIA? in all cases, for Health and safety regulation, for environmental regulation

If "yes": Does the risk assessment require quantitative modelling?

f(i) Are RIAs required to explicitly consider compliance and enforcement issues when preparing new regulations?

f(ii) Are reports on the level of compliance with the above RIA requirements prepared?

f(iii) Are these reports published?

e) if no=0, in other selected cases=0.5, only for major regulation=0.5, always=1

f(i) if yes, weight=1

f(ii) if no=0, ad hoc basis=1, regulary=2

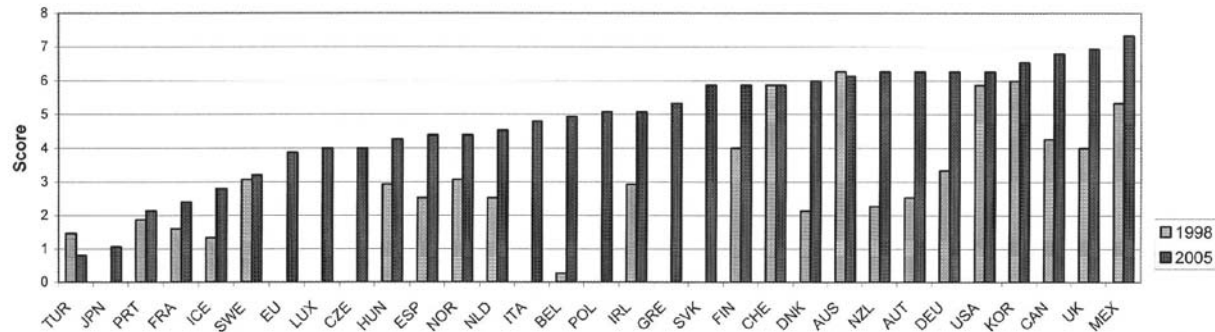
f(iii) if yes, weight=2

See Q11 / 2005 OECD regulatory indicators questionnaire.

Appendix I — 6



Overall RIA processes, 1998-2005



Is regulatory impact analysis (RIA) carried out before new regulation is adopted?
 Is a government body outside the ministry sponsoring the regulation responsible for reviewing the quality of the RIA?
 Is RIA formally required by law or by a similarly binding legal instrument?
 Is RIA required for draft primary laws?
 Is RIA required for draft subordinate regulations?
 Are regulators required to identify the costs of new regulation?
 Are regulators required to identify the benefits of new regulation?
 Does the RIA require regulators to demonstrate that the benefits of new regulation justify the costs?
 Are RIA documents required to be publicly released for consultation?
 Is the RIA required to include assessments of other specific impacts: impacts on competition, impacts on market openness, impacts on small businesses, impact on specific social groups (distributional effects across society)
 Is risk assessment required when preparing a RIA?
 Are these reports published?

The total scores for this indicator have been normalised to a total of 8.
 See Q11 / 2005 OECD regulatory indicators questionnaire

Weights:

if no=0, in some cases=0.25, always=0.5
 if yes, weight=0.75

if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5
 if no=0, in other selected cases & only for major reg=0.25, always=0.5

if no=0, in other selected cases & only for major regulation=0.125,
 always=0.25
 if yes, weight=0.5

Appendix. II Number of RIAs in Japan

office	No.	Ria			
		Law	Cabinet order	Ordinance	Notice
FTC	3	3(100%)	–	–	–
NPSC	23	18(78.3)	5(21.7%)	–	–
FSA	3	–	3(100%)	–	–
MIC	19	10(45.5%)	4(18.2%)	5(22.7%)	3(13.%)
Ministry of Justice	8	–	–	5(62.5%)	3(37.5%)
MFA	1	1(100%)	–	–	–
MF	1	1(100%)	–	–	–
MECSS	12	2(11.1%)	6(33.3%)	10(55.6%)	–
MHLW	11	7(63.6%)	4(36.4%)	–	–
MAFF	37	31(83.8%)	6(16.2%)	–	–
METI	50	2(3.4%)	9(15.3%)	37(62.7%)	11(18.6%)
MLITT	27	25(92.6%)	2(7.4%)	–	–
ME	52	38(73.1%)	14(26.9%)	–	–
Total	247	138(52.1%)	53(20%)	57(21.5%)	17(6.4%)

Appendix III

